

## PROTECTING YOUR PRIVACY AND SECURITY

### Smith Partners Wealth Management Privacy Pledge:

At Smith Partners Wealth Management your privacy is our priority. We collect personal information to open your accounts, to process your transactions and to help us provide a better level of service. We do not sell your personal information to anyone. We protect the security and confidentiality of the personal information we collect. Our relationship with you is our most important asset. We understand that you have entrusted us with your private financial information, and we do everything we can to maintain that trust. Details of our approach to privacy and how your personal information is collected and used are set forth in the Smith Partners Wealth Management Privacy Policy, which follows.

### The Smith Partners Wealth Management Privacy Policy

*The Smith Partners Wealth Management Privacy policy applies to clients who are current or former investment advisory clients.*

Throughout the policy, we refer to information that personally identifies you or your accounts as “personal information.”

1. We do not sell, rent, or loan your personal information to anyone.
2. We do not disclose personal information to third parties, unless:
  - You have requested or authorized the disclosure of the information to another of your professional advisors, e.g., attorney, accountant.
  - We believe the use of the third party is optimal for the management or maintenance of client accounts, e.g., our custodian, our portfolio management system etc. We carefully examine the privacy policies of these third parties.
3. We do collect personal information in the normal course of business in order to administer your accounts and serve you better.
  - We collect information that you provide to us when you open an account such as: name, address, phone number, email address, Social Security number, and information about your interests, investments, and investment experience.
4. We protect the confidentiality and security of your personal information.
  - We restrict access to personal information to our employees. All employees are trained and required to safeguard such information.
  - We maintain physical, electronic, and procedural safeguards to guard your personal information.
5. We continue to evaluate our efforts to protect personal information and make every effort to keep your personal information accurate and up to date.
  - If you identify any inaccuracy in your personal information, or you need to make a change to that information, please contact us so that we may promptly update our records.
6. We will provide notice of changes in our information-sharing practices.
  - If, at any time in the future, it is necessary to disclose any of your personal information in a way that is inconsistent with this policy, we will give you advance notice of the proposed change so that you will have the opportunity to opt out of such disclosure.