SMITH PARTNERS
WEALTH MANAGEMENT

Facts	What Does Smith Partners Wealth Management (SPWM) do with Your Personal Information?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect can include: ▪ Social Security number or Tax ID# ▪ Income/Assets ▪ Transaction history/Investment Activity ▪ Name and Address ▪ Email ▪ Phone Numbers ▪ Birthdate ▪ Legal Documents ▪ Account Numbers ▪ Financial Documents When you are no longer our customer, we will continue to hold your information and share it as described in this notice.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons why financial companies can share their customers' personal information, the reasons SPWM chooses to share personal information, and whether you can limit this sharing.

Reasons We Can Share Your Personal Information	Does SPWM Share your personal information?	Can You Limit This Sharing?
For our everyday business purposes, such as processing your transactions, maintaining your account(s), responding to court orders and legal investigations, auditors, examiners, and compliance reviews.	Yes	No
For our marketing purposes to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes—information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes—information about your creditworthiness	No	We don't share
For non-affiliates to market to you—for clients with accounts established with representatives at banks or credit unions	No	We don't share
For non-affiliates to market to you—for clients with accounts established with independent representatives	No	We don't share

Questions?	Email us at team@smithpartnerswealth.com or call (336) 272-9488

Who We Are

Who is providing this notice?	Smith Partners Wealth Management, LLC 128 East Fisher Ave Greensboro, NC 27401

What We Do

How does SPWM protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that we believe comply with federal law. These measures include computer safeguards and secured files and buildings. Our online environment uses security technologies, including layered security and access controls over personal information. For further information, please Email us at team@smithpartnerswealth.com or call (336) 272-9488.
How does SPWM collectmy personal information?	We collect your personal information, for example, when you: ▪ Enter into an agreement with us ▪ Seek financial advice ▪ Make deposits or withdrawals from your account ▪ Tell us about your portfolio ▪ Give us your employment history, account statements, and other personal information for us to manage your accounts and offer financial advice We also collect your personal information from others such as other financial institutions, Broker-dealers/Custodians from whom you have authorized us to receive information.
Why can't I limit all sharing?	Federal law gives you the right to limit only: ▪ Sharing for affiliates' everyday business purposes—information about your creditworthiness (which we do not provide) ▪ Affiliates from using your information to market to you (We do not have affiliates) ▪ Sharing for non-affiliates to market to you (We do not share for marketing purposes) State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.
What happens when I limit sharing for an account, held jointly with someone else?	Your choices will apply to everyone on your account.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies. ▪ We have no affiliates.
Non-Affiliates	Companies not related by common ownership or control. They can be financial and non-financial companies. ▪ Non-affiliates we may share information with include an independent representative's new brokerage or an investment advisory firm.
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you: ▪ This may include banks, credit unions, or other financial institutions. We have no joint marketing agreements.

Third Parties

Third Parties we share for providing services that you have not entered into a contract with.	▪ Electronic Communication Archiving	▪ Providers	▪ Service Providers
	▪ Portfolio/Asset ManagementServices	▪ Managed Server Providers	▪ Document ManagementProviders
	▪ Backup Storage	▪ Legal/Compliance	▪ Accounting Services
	▪ Client Relationship Management	▪ Federal or States	▪ Securities Auditors

If your independent representative terminates his or her relationship with us and moves to another brokerage or investment advisory firm, neither we nor your independent representative may disclose your personal information to the new firm, nor does the independent representative have the authorization to take your contact or account information with them.

We value your privacy and the trust you place in us. We need to collect and use certain personal information to provide the services you have hired us for. Please note that you may not opt out of this data collection because it is essential for us to provide our services. We do not sell your data or use it for any purposes other than to deliver the services we were hired to perform. We are committed to protecting your information and using it responsibly. If you have any questions or concerns, please contact us at team@smithpartnerswealth.com or (336) 272-9488.